

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

NARUTAKI et al.

Atty. Ref.: 829-609

Serial No. To be Assigned

Group:

Filed: July 15, 2003

Examiner:

For: COLOR FILTER LAYER AND DISPLAY DEVICE USING
THE SAME

* * * * *

July 15, 2003

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

INFORMATION DISCLOSURE STATEMENT

- 1. **PTO-1449 Pursuant to 37 CFR 1.97(b)**
[within 3 months of filing or prior to 1st Office Action] *N/C*
- 2. **Statement Pursuant to 37 CFR 1.97(c)**
[before Final Office Action and Allowance] *N/C*
- 3. **Fee Payment Pursuant to 37 CFR 1.97(c)**
[before Final Office Action and Allowance] *\$180.00*
- 4. **Pursuant to 37 CFR 1.97(d)**
[before Issue Fee payment] *\$180.00*

The following are submitted in the above-identified application in compliance with 37 C.F.R. §§ 1.97 and 1.98:

- 5. A list of documents on Form PTO-1449. Actual copies of the references listed on the attached form PTO-1449 are not included herewith in accordance with MPEP §609, these references being previously submitted in any and all of the parent applications. The parent application includes U.S. application Serial Nos. 09/695,400 and 09/236,397.

This paper is submitted in accordance with:

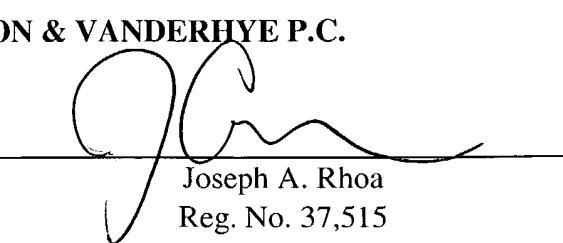
NARUTAKI et al.
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- 6. 37 CFR 1.97(b): [within 3 months of filing or prior to 1st Office Action]
- 7. 37 CFR 1.97(c): [before Final Office Action and Allowance, whichever is earlier]; and
 - a) The required Statement made in item 9 below; or
 - b) The \$180.00 fee specified in 37 CFR §1.17(p) for submission of this Information Disclosure Statement is authorized in item 10 below.
- 8. 37 CFR §1.97(d): [before issue fee payment]; and
 - a) The fee (\$180.00) required by 37 CFR §1.17(p) is authorized in item 10 below; and
 - b) The required Statement is stated in item 9 below.
- 9. Statement under 37 CFR 1.97(e)
 - a) The undersigned attorney of record hereby certifies under 37 C.F.R. §1.97(e) that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement (each item contained in this IDS was the first citation of that item by a foreign patent office in a counterpart foreign application which occurred no more than three months prior to the filing of this IDS); or
 - b) No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this Statement, after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.
- 10. Please charge all applicable fees associated with the submission of this Information Disclosure Statement and any other fees applicable to this application to Deposit Account No. 14-1140. An original and one (1) copy of this document are enclosed.

Respectfully submitted,

NIXON & VANDERHYE P.C.

By:



Joseph A. Rhoa
Reg. No. 37,515

NARUTAKI et al.

Serial No. To be Assigned

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INFORMATION DISCLOSURE CITATION		ATTY. DOCKET NO. 829-609	SERIAL NO. To be Assigned			
		APPLICANT NARUTAKI et al.				
(Use several sheets if necessary)		FILING DATE July 15, 2003	GROUP			
U.S. PATENT DOCUMENTS						
*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5,510,916	4/1996	Takahashi			
	6,215,538	4/2001	Narutaki et al.			
	6,281,952	8/2001	Okamoto et al.			
	4,492,432	1/1985	Kaufmann et al.			
	5,753,937	5/1998	Shimomaki et al.			
	6,147,728	11/2000	Okumura et al.			
	6,124,909	9/2000	Miyashita et al.			
	6,211,992	4/2001	Van Aerle et al.			
FOREIGN PATENT DOCUMENTS						TRANSLATION
DOCUMENT	DATE	COUNTRY	CLASS	SUBCLASS	YES	NO
	4-44250 B2	7/1992	JP			
	8-286178	11/1996	JP			
	10-142621	5/1998	JP			
	11-109331	4/1999	JP			
	11-295736	10/1999	JP			
	2000-305110	11/2000	JP			
OTHER DOCUMENTS (including Author, Title, Date, Pertinent pages, etc.)						
	U.S. Patent Application Serial No. 09/695,400 filed October 25, 2000					
	Patent Abstracts of Japan, Publication No. 08286178 (11/96)					
	Copy of U.S. Patent Application (Atty Dkt: 3693-35) "Liquid Crystal Display Device" filed October 1, 2002					
*Examiner		Date Considered				

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to application.

Form PTO-FB-A820 (Also PTO-1449)

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(Use several sheets if necessary)	FILING DATE July 15, 2003	GROUP

U.S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

TRANSLATION						
DOCUMENT	DATE	COUNTRY	CLASS	SUBCLASS	YES	NO
11-183892	7/1999	JP				
10-10528	1/1998	JP				
8-122762	5/1996	JP				
10-123312	5/1998	JP				
7-325284	12/1995	JP				
7-318929	12/1995	JP				
7-218923	8/1995	JP				
7-333598	12/1995	JP				
4-282613	10/1992	JP				
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61-198131	9/1986	JP				
61-147231	7/1986	JP				
57-56818	1/1985	JP				
55-74516	6/1980	JP				
WO97/04350	2/1997	WIPO				

OTHER DOCUMENTS (including Author, Title, Date, Pertinent pages, etc.)

Page 1 of 1

*Examiner

Date Considered

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Form PTO-FB-A820 (Also PTO-1449)